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U.S. ARMY COMBAT CAPABILITIES DEVELOPMENT COMMAND – ARMY RESEARCH LABORATORY

Large-Scale, Multi-Agent, Reinforcement Learning Control

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DISTRIBUTION STATEMENT A

Outline

Overview \Box

Hierarchical Reinforcement Learning (HRL) Control

- \circ RL Control (backgound)
- o Problem Formulation
- o Proposed HRL Solution
	- $\bullet\,$ HRL for approximate control of heterogeneous swarm
	- $\bullet\,$ HRL for optimal control of homogeneous swarm
- Example: Formation Control \bigcirc

Swarm Decomposition \Box

- **O** Decomposition Objectives
- o Example: Formation Maneuver

AirSim Experiments

Conclusions

Linear Quadratic Regulator

Why is it difficult? \Box

- o Uncertainty
- o Size

Reinforcement Learning Control \iff Adaptive Optimal Control

- Adaptive: unknown/uncertain dynamics and environment
- o Optimal: $\min_{\mathbf{u}(t)} J$

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AirSim Experiments

Optimal Control Problem (LQR)

- System: $\dot{x} = Ax + Bu$
- Cost functional: $J = \int_0^\infty (x^T Q x + u^T R u) dt$

Linear state feedback controller

- Control law that minimizes the value of the cost: $u = -Kx$
	- \star $K = R^{-1}B^{T}P$ \star $A^T P + P A - P B R^{-1} B^T P + Q = 0$

Algebraic matrix Riccati equation

Body-Rate Controller

Disturbance-Rejection Comparison

Optimal Control Problem

- System: $\dot{x} = Ax + Bu$
- Cost functional: $J = \int_{0}^{\infty} (x^T Q x + u^T R u) dt$
- Control law that minimizes the value of the cost: $u = -Kx$
	- $\star K = R^{-1}B^{T}P$ $\star \ A^T P + P A - P B R^{-1} B^T P + Q = 0$

RL learns *K* by solving the Riccati equation using only *x*(*t*) and *u*(*t*), no model

Unknown system dynamics A & B are unknown

System response to control input is unknown

Optimal Control Problem

- System: $\dot{x} = Ax + Bu$
- Cost functional: $J = \int_{0}^{\infty} (x^T Q x + u^T R u) dt$
- Control law that minimizes the value of the cost: $u = -Kx$ \bullet
	- \star $K = R^{-1}B^{T}P$ * $A^T P + P A - P B R^{-1} B^T P + Q = 0$

Adaptive Dynamic Programing (A and B are unknown)

RL learns *K* by solving the Riccati equation using only *x*(*t*) and *u*(*t*), no model

Adaptive Dynamic Programing (A and B are unknown)

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- Swarm of p agents consisting of N groups: $p = \sum_{j=1}^{N} p_j$
- Group-level dynamics:

$$
\mathbf{\dot{x}}_j = A_j \mathbf{x}_j + B_j \mathbf{u}_j
$$

 \bullet Swarm model:

$$
\dot{\mathbf{x}} = \mathcal{A}\mathbf{x} + \mathcal{B}\mathbf{u}
$$

• Control objective:

$$
J = \int_0^\infty \mathbf{x}^\top Q \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \ dt
$$

• Optimal controller:

$$
\mathbf{u} = -K^* \mathbf{x} = -R^{-1} \mathcal{B}^\top P^* \mathbf{x}
$$

 \bullet Riccati equation

$$
P^* \mathcal{A} + \mathcal{A}^\top P^* + Q - P^* \mathcal{B} R^{-1} \mathcal{B}^\top P^* = 0
$$

Can't solve since model is unknown!

Naively applying existing ADP algorithm requires treating the entire large-scale MAS as a single system

• Swarm of p agents consisting of N groups: $p = \sum_{i=1}^{N} p_i$

• Group-level dynamics:

 \bullet Swarm model:

- $\mathcal{A} = \begin{bmatrix} A_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & A_N \end{bmatrix}$ $\bullet\,$ Control objective: $J = \int_0^\infty \mathbf{x}^\top Q \mathbf{x} + \mathbf{u}^\top R \mathbf{u} dt$
- Optimal controller:

$$
\mathbf{u} = -K^* \mathbf{x} = -R^{-1} \mathcal{B}^\top P^* \mathbf{x}
$$

 $\dot{\mathbf{x}}_j = A_j \mathbf{x}_j + B_j \mathbf{u}_j$

 $\dot{\mathbf{x}} = A\mathbf{x} + B\mathbf{u}$

 \bullet Riccati equation

$$
P^* \mathcal{A} + \mathcal{A}^\top P^* + Q - P^* \mathcal{B} R^{-1} \mathcal{B}^\top P^* = 0
$$

No physical (dynamical) coupling

- Swarm of p agents consisting of N groups: $p = \sum_{i=1}^{N} p_i$
- Group-level dynamics:

$$
\mathbf{\dot{x}}_j = A_j \mathbf{x}_j + B_j \mathbf{u}_j
$$

 \bullet Swarm model:

$$
\dot{\mathbf{x}} = \mathcal{A}\mathbf{x} + \mathcal{B}\mathbf{u}
$$

the cost function

A coupling graph is involved in the cost function

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AirSim Experiments

 $\bullet\,$ Control objective:

$$
J = \int_0^\infty \mathbf{x}^\top Q \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt = \underbrace{\int_0^\infty \mathbf{x}^\top \bar{Q} \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt}_{\sum_{j=1}^N J_j} + \underbrace{\int_0^\infty \mathbf{x}^\top \left(L_w \odot \tilde{Q} \right) \mathbf{x} \, dt}_{J_g}
$$
\n
$$
J_j = \int_0^\infty \mathbf{x}_j^\top \bar{Q}_j \mathbf{x}_j + \mathbf{u}_j^\top R_j \mathbf{u}_j \, dt
$$
\n• $\mathbf{u}_j = -\underbrace{R_j^{-1} B_j^\top P_j}_{K_j} \mathbf{x}_j$, where $P_j \in \mathbb{R}^{np_j \times np_j}$ are from\n
$$
\underbrace{P_j A_j + A_j^\top P_j + \bar{Q}_j - P_j B_j R_j^{-1} B_j^\top P_j}_{J_j = \int_0^\infty \mathbf{x}_j^\top \bar{Q}_j \mathbf{x}_j + \mathbf{u}_j^\top R_j \mathbf{u}_j \, dt}
$$
\n
$$
\underbrace{P_j A_j + A_j^\top P_j + \bar{Q}_j - P_j B_j R_j^{-1} B_j^\top P_j = 0}
$$

Individual agents or teams can solve for local optimal controllers in parallel using existing ADP algorithms

 $\bullet\,$ Control objective:

$$
J = \int_0^\infty \mathbf{x}^\top Q \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt = \underbrace{\int_0^\infty \mathbf{x}^\top \bar{Q} \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt}_{\sum_{j=1}^N J_j} + \underbrace{\int_0^\infty \mathbf{x}^\top \left(L_w \odot \tilde{Q} \right) \mathbf{x} \, dt}_{J_g}
$$

$$
J_j = \int_0^\infty \mathbf{x}_j^\top \bar{Q}_j \mathbf{x}_j + \mathbf{u}_j^\top R_j \mathbf{u}_j \, dt
$$

•
$$
\mathbf{u}_j = -\underbrace{R_j^{-1}B_j^{\top}P_j}_{K_j} \mathbf{x}_j
$$
, where $P_j \in \mathbb{R}^{np_j \times np_j}$ are from

$$
P_j A_j + A_j^{\top} P_j + \bar{Q}_j - P_j B_j R_j^{-1} B_j^{\top} P_j = 0
$$

- Define $\mathcal{R}^{-1} = R^{-1} + \tilde{R}$
- $\bullet\,$ Consider a new Ricatti equation

 $\mathcal{P}\mathcal{A} + \mathcal{A}^{\top}\mathcal{P} + Q - \mathcal{P}\mathcal{B}\mathcal{R}^{-1}\mathcal{B}^{\top}\mathcal{P} = \mathcal{P}\mathcal{A} + \mathcal{A}^{\top}\mathcal{P} + \bar{Q} - \mathcal{P}\mathcal{B}R^{-1}\mathcal{B}^{\top}\mathcal{P} + L_w \odot \tilde{Q} - \mathcal{P}\mathcal{B}\tilde{R}\mathcal{B}^{\top}\mathcal{P}$

• Control objective:

$$
J = \int_0^\infty \mathbf{x}^\top Q \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt = \underbrace{\int_0^\infty \mathbf{x}^\top \bar{Q} \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt}_{\sum_{j=1}^N J_j} + \underbrace{\int_0^\infty \mathbf{x}^\top (L_w \odot \tilde{Q}) \mathbf{x} \, dt}_{J_g}
$$

$$
J_j = \int_0^\infty \mathbf{x}_j^\top \bar{Q}_j \mathbf{x}_j + \mathbf{u}_j^\top R_j \mathbf{u}_j \, dt
$$

$$
P_j A_j + A_j^\top P_j + \bar{Q}_j - P_j B_j R_j^{-1} B_j^\top P_j = 0
$$

• Define $\mathcal{R}^{-1} = R^{-1} + \tilde{R}$

$$
\tilde{R} \text{ is selected so that } \mathcal{P} \mathcal{B} \tilde{R} \mathcal{B}^\top \mathcal{P} = L_w \odot \tilde{Q}
$$

• Consider a new Ricatti equation

$$
\mathcal{P}\mathcal{A} + \mathcal{A}^{\top}\mathcal{P} + Q - \mathcal{P}\mathcal{B}\mathcal{R}^{-1}\mathcal{B}^{\top}\mathcal{P} = \left[\mathcal{P}\mathcal{A} + \mathcal{A}^{\top}\mathcal{P} + \bar{Q} - \mathcal{P}\mathcal{B}\mathcal{R}^{-1}\mathcal{B}^{\top}\mathcal{P}\right] + L_w \odot \tilde{Q} - \mathcal{P}\mathcal{B}\tilde{R}\mathcal{B}^{\top}\mathcal{P}
$$

- Decoupled Ricatti equation with $\mathcal{P} = \text{diag}\{P_1, \ldots, P_N\}$

$$
\boxed{\mathcal{P}\mathcal{A}+\mathcal{A}^{\top}\mathcal{P}+\bar{Q}-\mathcal{P}\mathcal{B}R^{-1}\mathcal{B}^{\top}\mathcal{P}}=\text{diag}\{P_jA_j+A_j^{\top}P_j+\bar{Q}_j-P_jB_jR_j^{-1}B_j^{\top}P_j\}=0
$$

• Then the control gain follows as: $K = \mathcal{R}^{-1} \mathcal{B}^{\top} \mathcal{P} = \underline{R^{-1} \mathcal{B}^{\top} \mathcal{P}} + \underline{\tilde{R} \mathcal{B}^{\top} \mathcal{P}}$. $local$ global

Control objective:

$$
J = \int_0^\infty \mathbf{x}^\top Q \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt = \underbrace{\int_0^\infty \mathbf{x}^\top \bar{Q} \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt}_{\sum_{j=1}^N J_j} + \underbrace{\int_0^\infty \mathbf{x}^\top (L_w \odot \tilde{Q}) \mathbf{x} \, dt}_{J_g}
$$

$$
J_j = \int_0^\infty \mathbf{x}_j^\top \bar{Q}_j \mathbf{x}_j + \mathbf{u}_j^\top R_j \mathbf{u}_j \, dt
$$

$$
P_j A_j + A_j^\top P_j + \bar{Q}_j - P_j B_j R_j^{-1} B_j^\top P_j = 0
$$

- Let $P = diag\{P_1, \ldots, P_N\}$
- Define $\mathcal{R}^{-1} = R^{-1} + \tilde{R}$, where \tilde{R} is selected so that $\mathcal{P}\mathcal{B}\tilde{R}\mathcal{B}^{\top}\mathcal{P} = L_w \odot \tilde{Q}$
- Then the control gain follows as: $K = \mathcal{R}^{-1} \mathcal{B}^{\top} \mathcal{P} = \underbrace{R^{-1} \mathcal{B}^{\top} \mathcal{P}}_{local} + \underbrace{\tilde{R} \mathcal{B}^{\top} \mathcal{P}}_{global}$. mizing:
 $\mathcal{J} = \int_0^\infty \mathbf{x}^\top Q' \mathbf{x} + \mathbf{u}^\top \mathcal{R} \mathbf{u} dt,$
 $\mathcal{J} = \int_0^\infty \mathbf{x}^\top Q' \mathbf{x} + \mathbf{u}^\top \mathcal{R} \mathbf{u} dt,$ - What we are effectively minimizing:

We are relaxing control penalty term to account for coupled state penalty term

Approximate Control for Multi-Agent Systems: Algorithm

 $\bullet\,$ Control objective:

$$
J = \int_0^\infty \mathbf{x}^\top Q \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt = \underbrace{\int_0^\infty \mathbf{x}^\top \bar{Q} \mathbf{x} + \mathbf{u}^\top R \mathbf{u} \, dt}_{\sum_{j=1}^N J_j} + \underbrace{\int_0^\infty \mathbf{x}^\top \left(L_w \odot \tilde{Q} \right) \mathbf{x} \, dt}_{J_g}
$$
\nStep 1: Solve in parallel using ADP\n
$$
J_j = \int_0^\infty \mathbf{x}_j^\top \bar{Q}_j \mathbf{x}_j + \mathbf{u}_j^\top R_j \mathbf{u}_j \, dt
$$
\n
$$
P_j A_j + A_j^\top P_j + \bar{Q}_j - P_j B_j R_j^{-1} B_j^\top P_j = 0
$$
\n• Let $\mathcal{P} = \text{diag}\{P_1, \ldots, P_N\}$ \nStep 2: Construct \tilde{R} \n• Define $\mathcal{R}^{-1} = R^{-1} + \tilde{R}$, where \tilde{R} is selected so that $\mathcal{PBR} \tilde{B} \mathcal{B}^\top \mathcal{P} = L_w \odot \tilde{Q}$ \n• Then the control gain follows as: $K = \mathcal{R}^{-1} \mathcal{B}^\top \mathcal{P} = \underbrace{R^{-1} \mathcal{B}^\top \mathcal{P}}_{local} + \underbrace{\tilde{R} \mathcal{B}^\top \mathcal{P}}_{global}$.
\n- What we are effectively minimizing: $\underbrace{\mathcal{S} \mathcal{S} \$

$$
\mathcal{J} = \int_0^\infty \mathbf{x}^\top Q' \mathbf{x} + \mathbf{u}^\top \mathcal{R} \mathbf{u} dt,
$$

Comparison between Centralized RL and HRL

Heterogeneous Agents

Graph G with 3 cliques, each clique contains 3 agents.

Bai, H., George, J. and Chakrabortty, A., "*Hierarchical Control of Multi-Agent Systems using Online Reinforcement Learning*," **American Control Conference (ACC)**, Denver, CO, July 2020

Reducing Learning Time via Hierarchical Approximation Homogeneous Agents

- Identical agent dynamics: $\dot{x}_i = Ax_i + Bu_i, i = 1, \cdots, N$
- Identical performance metrics: $Q = (I_N + G) \otimes Q_0$, $R = I_N \otimes R_0$
- Decompose into solving N smaller-sized LQR problems

$$
\min_{v_i} J_i(\xi_i, v_i) = \int_0^\infty (g_i \xi_i^\top Q_0 \xi_i + v_i^\top R_0 v_i) dt
$$

s.t. $\dot{\xi}_i = A \xi_i + B v_i.$

 \star g_i: eigenvalues of $I_N + G$

- $\star v_i^*$ learned using ADP with a smaller dimension (n)
- Combined optimal control: $u^* = \sum_{i=1}^N (S_i \otimes I_m) v_i^*$.
- "Learn in parallel, implement centrally"

Final controller is optimal !

Comparison between Centralized RL and HRL

Homogeneous Agents

Similarity transformation allows to decouple the problem.

Final controller is optimal !

Comparisons Between Different Algorithms

C-HRL: Apply a customized HRL algorithm to decomposed problems ** : Computational time is longer than 60s

G. Jing, H. Bai, J. George and A. Chakrabortty, "Decomposability and Parallel Computation of Multi-Agent LQR", *in FrA12 Regular Session (11:15-11:30) American Control Conference*, to appear, 2021.

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o Example: Formation Control

Swarm Decomposition \Box

- Decomposition Objectives \bigcirc
- Example: Formation Maneuver \circ

AirSim Experiments

HRL Example: Formation Control of Multiple Groups

HRL Example: Formation Control of Multiple Groups Heterogeneous Agents

- 2D robots: $M_i \ddot{q}_i + C_i \dot{q}_i = u_i, \quad i = 1, \cdots, N$
- Unknown M_i and C_i
- The robots are divided into 4 groups to track 4 different targets of known locations.

- Linear Quadratic Integral (LQI) approach

- Control objectives:
	- \star each group converges to a desired formation
	- \star its assigned target is at the center of the formation
	- \star keep the group centroid as close as possible

$$
J_j = \int_0^\infty X_j^\top \bar{Q}_j X_j + \mathbf{u}_j^\top R_j \mathbf{u}_j dt \quad J_g = \int_0^\infty X^\top (L_w \otimes S^\top S) X dt
$$

$$
J = \sum_{j=1}^s J_j + J_g = \int_0^\infty X^\top (\bar{Q} + \tilde{Q}) X + \mathbf{u}^\top R \mathbf{u} dt
$$

Simulation results:

Trajectories of the agents: solid lines -- optimal control; dash-dot lines: learned approximate control

Trajectories of agents under optimal and approximated optimal controllers. Targets are denoted by +'s. Different colors indicate different groups.

 $Q = 0.1 \times I + 0.5 \times \left(L_w \otimes \tilde{Q}\right)$

Simulation results:

 $Q = 0.1 \times I + 5 \times (L_w \otimes \tilde{Q})$

Trajectories of the agents: solid lines -- optimal control; dash-dot lines: learned approximate control

Trajectories of agents under optimal and approximated optimal controllers. Targets are denoted by +'s. Different colors indicate different groups.

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Swarm Decomposition \Box

- **O** Decomposition Objectives
- **Example: Formation Maneuver**

AirSim Experiments \Box

Decomposition

Recall $Q = \bar{Q} + L_w \otimes \tilde{Q}$, where $\bar{Q} = \text{diag}\{\bar{Q}_{11}, \cdots, \bar{Q}_{NN}\}.$ Decompose L_w into $L_w = G_1 + G_2$

 \circ G₁: block diagonal Laplacian matrix with $s \leq N$ blocks

 \circ G_2 : describes couplings between the groups

Decomposition Strategies

Given # of groups and L_w , find an optimal decomposition: challenging

Explored two approaches

- \circ Reduce the optimality gap $J(x(0), u_h) J(x(0), u^*)$
	- An upper bound of the gap depends on $tr(G_2)$ & cond(P)
	- Minimizing $tr(G_2)$: k-cut graph partitioning problem
- Limit required inter-agent communication links
	- maximize $\kappa = \sum_{i \sim j} N_i N_j$
	- mixed-integer quadratic program (MIQP)

number of pairs of agents that do not need to communicate with each other.

Graph G with 3 cliques, each clique contains 3 agents.

COMPARISONS BETWEEN DIFFERENT DECOMPOSITIONS.

et al. Model-Free mal Control of Linear i-Agent Systems via omposition and archical Approximation E TCNS, 2021.

Multi-Agent Formation Maneuver Control

Agent dynamics: $M_i \ddot{q}_i + C_i \dot{q}_i = u_i, i = 1, ..., N$, (unknown M_i, C_i) \Box Objective function \Box

$$
J_1 = \int_0^\infty \sum_{(i,j)\in \mathcal{E}_f} ||q_i - q_j - (h_i - h_j)||^2 + \sum_{i\in \mathcal{L}} ||q_i - h_i||^2 dt
$$

$$
J_2 = \int_0^\infty \dot{q}^\top (L \otimes I_2) \dot{q} dt
$$

$$
J = J_1 + J_2 = \int_0^\infty \left[x^\top ((L + \Lambda) \otimes I_4) x + u^\top u \right] dt
$$

Multi-Agent Formation Maneuver Control

Optimal (centralized, complete $J = 1112.64$ & $J_u = 359.11$ communication graph)

Simulation results: HRL

(a) (b)

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AirSim Experiments \Box

Microsoft AirSim (Aerial Informatics and Robotics Simulation)

An open-source, cross platform simulator for drones, ground vehicles such as cars and various other objects, built on Epic Games' Unreal Engine 4 as a platform for AI research.

https://microsoft.github.io/AirSim/

AirSim Simulation – 2 Teams

- Trajectory: Minimum Snap (compute @ 10 Hz)
- Position Control: LQR (compute @ 20 Hz, update gains @ 10 Hz)
- Formation:
- Circle of radius 4
- 10 meters above target

AirSim Simulation – Tracking & Formation Control

Conclusions

- Decomposition and hierarchical approximation can speed up reinforcement learning control of large-scale multi-agent systems (MAS).
- For heterogeous MAS,
	- Agents decomposed into groups & Control decomposed into a local control and a global control
	- Local control is learned (in parallel) and global control is approximated
	- Optimizing decompositions of the agents can reduce optimality gap and inter-agent communication.
- For homogeneous MAS, decomposition into N smaller, parallel problems leads to optimal control.
- Several options to decompose the large-scale MAS

Publications

- 1. G. Jing, H. Bai, J. George and A. Chakrabortty, "Model-Free Optimal Control of Linear Multi-Agent Systems via Decomposition and Hierarchical Approximation," in IEEE Transactions on Control of Network Systems, doi: 10.1109/TCNS.2021.3074256.
- 2. G. Jing, H. Bai, J. George, A. Chakrabortty and P. K. Sharma, "Learning Distributed Stabilizing Controllers for Multi-Agent Systems," in IEEE Control Systems Letters, doi: 10.1109/LCSYS.2021.3072007.
- 3. G. Jing, H. Bai, J. George and A. Chakrabortty, "Model-Free Reinforcement Learning of Minimal-Cost Variance Control," IEEE Control Systems Letters, vol. 4, no. 4, pp. 916-921, 2020.
- 4. Bai, H., George, J. and Chakrabortty, A., "Hierarchical Control of Multi-Agent Systems using Online Reinforcement Learning," American Control Conference (ACC), Denver, CO, July 2020.
- 5. G. Jing, H. Bai, J. George and A. Chakrabortty, "Model-Free Optimal Control of Linear Multi-Agent Systems via Decomposition and Hierarchical Approximation," arXiv preprint, arXiv:2008.06604, Aug. 2020.
- 6. G. Jing, H. Bai, J. George and A. Chakrabortty, "Hierarchical Reinforcement Learning for Optimal Control of Linear Multi-Agent Systems: the Homogeneous case," submitted to American Control Conference (ACC), New Orleans, LA, July 2021.

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