

DSIAC TECHNICAL INQUIRY (TI) RESPONSE REPORT

Options for Using Metamaterials for Unidirectional Transmission of EM Radiation within Radar Wavelengths

Report Number:

DSIAC-BCO-2022-203

Completed September 2021

DSIAC is a Department of Defense Information
Analysis Center

MAIN OFFICE

4695 Millennium Drive
Belcamp, MD 21017-1505
Office: 443-360-4600

REPORT PREPARED BY:

Doyle Motes, P.E.
Office: Texas Research Institute (TRI) Austin

Information contained in this report does not constitute endorsement by the U.S. Department of Defense of any nonfederal entity or technology sponsored by a nonfederal entity.

DSIAC is sponsored by the Defense Technical Information Center, with policy oversight provided by the Office of the Under Secretary of Defense for Research and Engineering. DSIAC is operated by the SURVICE Engineering Company.

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. **PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.**

1. REPORT DATE (DD-MM-YYYY) 09-09-2021		2. REPORT TYPE Technical Research Report		3. DATES COVERED (From - To)	
4. TITLE AND SUBTITLE Options for Using Metamaterials for Unidirectional Transmission of EM Radiation within Radar Wavelengths				5a. CONTRACT NUMBER FA8075-21-D-0001	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S) Doyle T. Motes				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Defense Systems Information Analysis Center (DSIAC) Texas Research Institute Austin, Inc. 415 Crystal Creek Drive Austin, TX 78746				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Defense Technical Information Center (DTIC) 8725 John J. Kingman Road Fort Belvoir, VA 22060-6218				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT DISTRIBUTION A. Approved for public release: distribution unlimited.					
13. SUPPLEMENTARY NOTES Materials and Manufacturing Processes, Sensors and Processing, Advanced Materials, Sensing					
14. ABSTRACT Defense Systems Information Analysis Center (DSIAC) was asked if metamaterials (charged/active or otherwise) could be used to shield a friendly radar from electronic attack while simultaneously allowing that radar to continue to operate in that same band. DSIAC subject matter experts from Texas Research Institute and the Missouri University of Science and Technology provided initial answers to this question. Initial results show that some research is being conducted in the high-low terahertz range, and a few references indicate that research is being conducted in the lower-gigahertz range. Much of the published work is from China.					
15. SUBJECT TERMS Metamaterials, THz, GHz, radar, filtering, unidirectional, transmission, electromagnetic radiation, radar wavelengths					
16. SECURITY CLASSIFICATION OF: U			17. LIMITATION OF ABSTRACT UU	18. NUMBER OF PAGES 12	19a. NAME OF RESPONSIBLE PERSON Ted Welsh, DSIAC Director
a. REPORT U	b. ABSTRACT U	c. THIS PAGE U			19b. TELEPHONE NUMBER (include area code) 443-360-4600

Standard Form 298 (Rev. 8-98)
Prescribed by ANSI Std. Z39.18

DISTRIBUTION A. Approved for public release: distribution unlimited.

ABOUT DTIC AND DSIAC

The Defense Technical Information Center (DTIC) collects, disseminates, and analyzes scientific and technical information to rapidly and reliably deliver knowledge that propels development of the next generation of Warfighter technologies. DTIC amplifies the U.S. Department of Defense's (DoD's) multibillion dollar annual investment in science and technology by collecting information and enhancing the digital search, analysis, and collaboration tools that make information widely available to decision makers, researchers, engineers, and scientists across the Department.

DTIC sponsors the DoD Information Analysis Center's (IAC's) program, which provides critical, flexible, and cutting-edge research and analysis to produce relevant and reusable scientific and technical information for acquisition program managers, DoD laboratories, Program Executive Offices, and Combatant Commands. The IACs are staffed by, or have access to, hundreds of scientists, engineers, and information specialists who provide research and analysis to customers with diverse, complex, and challenging requirements.

The Defense Systems Information Analysis Center (DSIAC) is a DoD IAC sponsored by DTIC to provide expertise in 10 technical focus areas: weapons systems; survivability and vulnerability; reliability, maintainability, quality, supportability, and interoperability (RMQSI); advanced materials; military sensing; autonomous systems; energetics; directed energy; non-lethal weapons; and command, control, communications, computers, intelligence, surveillance, and reconnaissance (C4ISR). DSIAC is operated by SURVICE Engineering Company under contract FA8075-21-D-0001.

A chief service of the DoD IACs is free technical inquiry (TI) research, limited to 4 research hours per inquiry. This TI response report summarizes the research findings of one such inquiry jointly conducted by DSIAC.

ABSTRACT

Defense Systems Information Analysis Center (DSIAC) was asked if metamaterials (charged/active or otherwise) could be used to shield a friendly radar from electronic attack while simultaneously allowing that radar to continue to operate in that same band. DSIAC subject matter experts from Texas Research Institute and the Missouri University of Science and Technology provided initial answers to this question. Initial results show that some research is being conducted in the high-low terahertz range, and a few references indicate that research is being conducted in the lower-gigahertz range. Much of the published work is from China.

Contents

ABOUT DTIC AND DSIAC.....i

ABSTRACT.....ii

List of Figures.....iii

List of Tables.....iii

1.0 TI Request 1

 1.1 INQUIRY 1

 1.2 DESCRIPTION 1

2.0 TI Response 1

REFERENCES.....6

BIOGRAPHY 7

List of Figures

Figure 1: An Image of a Negative Index of Refraction Metamaterial Array Configuration, which was Constructed of Copper Split-Ring Resonators and Wires Mounted on Interlocking Sheets of Fiberglass Circuit Board (left). On the Right is a Comparison of Refraction in a Left-Handed Metamaterial to that in a Normal Material..... 2

List of Tables

Table 1. EM Radar Bands..... 3

1.0 TI Request

1.1 INQUIRY

Can metamaterials (charged/active or otherwise) be used to shield a friendly radar from electronic attack while simultaneously allowing that radar to continue to operate in that same band? This question was posed to the presenters of the Electromagnetic Interference (EMI) Shielding/Materials webinar presented by the Defense Systems Information Analysis Center on Thursday, 26 August 2021. The inquirer was initially asking about a shielding material that could operate similarly to a one-way valve. In other words, could it filter a frequency inbound, but allow it outbound?

1.2 DESCRIPTION

The inquirer was interested in the use of metamaterials in a military application in which a radar could track a target with minimal or no susceptibility to in-band enemy radar jamming. Obviously, some level of frequency agility of the friendly radar is necessary to select a slightly different carrier than that of the attacker. However, could the use of metamaterials be a simpler and less expensive way to shield radar than the very agile radars employed by premier fighter aircraft today? The inquirer asked if there was research on employing a material activation timed with a pulse repetition interval similar to the way WWI machine guns were geared/timed to avoid shooting their own aircraft's propeller.

In an alternate application, could friendly aircraft radar-warning receiver (RWR) antennas be selectively shielded while the host aircraft's electronic protection jammer is operating on the target frequency? This shielding would be limited such that the RWR could still detect, e.g., the enemy surface-to-air missile, but would not itself be jammed by the friendly electronic countermeasure. It seems that most aircraft employ physical distancing of electronic attack/electronic protection transmission antennas and RWR antennas, along with solid surface shielding to accomplish this effect today. Operators are forced to accept temporary system degradation while jamming. But could a metamaterial EMI filter be employed to allow for antenna proximity or for potentially using the same antenna without being subjected to friendly jamming?

2.0 TI Response

The ability to transmit electromagnetic (EM) waves through a medium without the waves entering back into the transmitter (a one-way filter) is an active research topic. The most likely solution to this problem is via the use of metamaterials.

A metamaterial is any material engineered to have a property that is not found in naturally occurring materials. It is made from assemblies of multiple elements fashioned from composite materials such as metals and plastics. The materials are usually arranged in repeating patterns, at scales that are smaller than the wavelengths of the phenomena they influence. A metamaterial such as that shown in Figure 1 derives its properties not from those of the base materials, but from its newly designed structure. Its precise shape, geometry, size, orientation, and arrangement give it its smart properties capable of manipulating EM waves (i.e., by blocking, absorbing, enhancing, or bending them) to achieve benefits beyond what are possible with conventional materials.

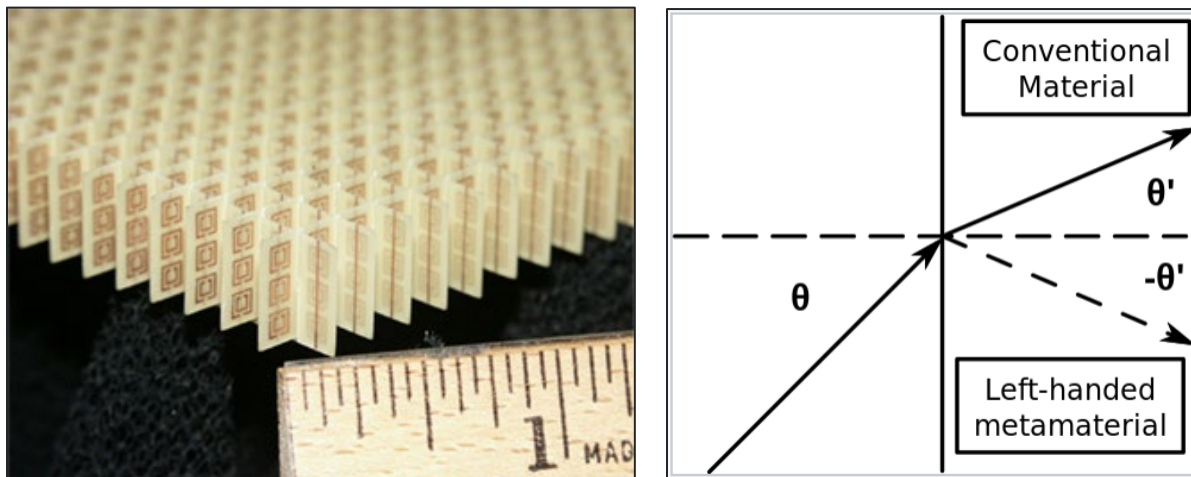


Figure 1: An Image of a Negative Index of Refraction Metamaterial Array Configuration, which was Constructed of Copper Split-Ring Resonators and Wires Mounted on Interlocking Sheets of Fiberglass Circuit Board (left). on the Right is a Comparison of Refraction in a Left-Handed Metamaterial to that in a Normal Material (Source: Wikipedia.com).

Research into the use of metamaterials for radar shielding appears to be in the initial stages. A subject matter expert in the field of metamaterials, Dr. Kristen Donnell of the Missouri University of Science and Technology, stated that it is likely that metamaterials and/or frequency-selective surfaces could be used to provide a unidirectional response, but that little if any work has been published for the frequency ranges commonly used for radar. (See Table 1 for a discussion of the different frequency ranges available.) However, metamaterials are being used as unidirectional filters for much higher frequencies (approaching the terahertz [THz] range).

In 2017, Zang et al. [1] reported on broadband unidirectional behavior of EM waves via “transformation optics.” Li et al. [2] describe the use of a tunable, graphene-metal hybrid metamaterial for use as a unidirectional light transmitter (THz range; supported by the Government of China and the National Science Foundation). Another work (Yang and Lin [3]) discusses metamaterials for tunable, optical-frequency filtering (lower-THz or high-gigahertz [GHz] range, funded by the Government of China). Han et al. [4] discuss the use of a dual-band

metamaterial to allow unidirectional, reflectionless propagation (funded by China and Korea). Yang et al. [5] reported one-way, helical EM wave propagation via a magnetized plasma within a microwave regime, although this plasma may not be considered a metamaterial.

Table 1. EM Radar Bands

Band Name	Frequency Range	Wavelength Range	Notes
HF (High Frequency)	3–30 MHz	10–100 m	Coastal radar systems; over-the-horizon radars
VHF (Very High Frequency)	30–300 MHz	1–10 m	Very long-range, ground-penetrating; early radar systems generally operated in VHF as suitable electronics had already been developed for broadcast radio. Today this band is heavily congested and no longer suitable for radar due to interference.
P (Previous)	< 300 MHz	> 1 m	Applied retrospectively to early radar systems; essentially HF + VHF; often used for remote sensing because of good vegetation penetration.
UHF (Ultra-High Frequency)	300–1000 MHz	0.3–1 m	Very long range (e.g., ballistic missile early warning), ground penetrating, foliage penetrating; efficiently produced and received at very high energy levels; also reduce the effects of nuclear blackout, making them useful in the missile-detection role.
L (Long)	1–2 GHz	15–30 cm	Long-range air-traffic control and surveillance; widely used for long-range, early-warning radars as they combine good reception qualities with reasonable resolution.
S (Sentimetric)	2–4 GHz	7.5–15 cm	Moderate-range surveillance; terminal air-traffic control; long-range weather; marine radar; “sentimetric” was its code-name during WWII; less efficient than L, but offering higher resolution, making them especially suitable for long-range, ground-controlled interception tasks.
C (Compromise)	4–8 GHz	3.75–7.5 cm	Satellite transponders; a compromise (hence “C”) between X and S bands; weather; long-range tracking
X	8–12 GHz	2.5–3.75 cm	Missile guidance, marine radar, weather, medium-resolution mapping, and ground surveillance; in the United States, the narrow range (10.525 GHz ±25 MHz) is used for airport radar; short-range

			tracking; named “X” band because the frequency was a secret during WWII; diffraction off of raindrops during heavy rain limits the range in the detection role and makes this band suitable only for short-range roles or those that deliberately detect rain.
K (kurz)	18– 24 GHz	1.11– 1.67 cm	From German <i>kurz</i> , meaning “short”; limited use due to absorption by water vapor at 22 GHz, so K _u and K _a on either side used instead for surveillance; K-band is used by meteorologists for detecting clouds and by police for detecting speeding motorists; K-band radar guns operate at 24.150 ± 0.100 GHz.
Ku	12– 18 GHz	1.67–2.5 cm	High-resolution, also used for satellite transponders; frequency <i>under</i> K band (hence “u”)
Ka	24– 40 GHz	0.75– 1.11 cm	Mapping, short range, airport surveillance; frequency just <i>above</i> K-band (hence “a”); photo radar; used to trigger cameras that take pictures of license plates of cars running red lights; operates at 34.300 ± 0.100 GHz.
mm	40– 300 GHz	1.0–7.5 mm	Millimeter band, subdivided into the V, W, and higher bands (V and W shown below in this table); oxygen in the air is an extremely effective attenuator around 60 GHz, as are other molecules at other frequencies, leading to the so-called propagation window at 94 GHz. Even in this window, the attenuation is higher than that due to water at 22.2 GHz. This drawback makes these frequencies generally useful only for short-range, highly specific radars, like power-line avoidance systems for helicopters or use in space where attenuation is not a problem. Multiple letters are assigned to these bands by different groups.
V	40– 75 GHz	4.0–7.5 mm	Very strongly absorbed by atmospheric oxygen, which resonates at 60 GHz.
W	75– 110 GHz	2.7–4.0 mm	Used as a visual sensor for experimental, autonomous vehicles, high-resolution meteorological observation, and imaging.

There may be research being conducted on millimeter-wave and higher-wavelength EM unidirectional transmission via the use of metamaterials, but this work is not being openly published. Up to this point, there has been no evidence that such an activity is impossible using

metamaterials. Updates on applications of a unidirectional EM technology are currently unavailable from open sources owing to the vast majority of the work still being within the basic research regime.

REFERENCES

- [1] Zang, X., Y. Zhu, X. Ji, Q. Hu, and S. Zhuang. "Broadband Unidirectional Behavior of Electromagnetic Waves Based on Transformation Optics," *Scientific Reports*, Vol. 7, 2017.
- [2] Li, C., L. Lui, J. Xu, and Z. Liu. "Tunable Unidirectional Light Transmission in a Graphene-Metal Hybrid Metamaterial," *Journal of Modern Optics*, Vol. 66, Issue 10, 2019, pp. 1158–1162.
- [3] Yang, W., and Y. Lin. "Tunable Metamaterial Filter for Optical Communication in the Terahertz Frequency Range," *Optics Express*, Vol. 28, No. 12, 2020.
- [4] Han, G., R. Bai, X. Jin, Y. Zhang, C. An, and Y. Lee. "Dual-Band Unidirectional Reflectionless Propagation in Metamaterial Based on Two Circular-Hole Resonators," *Materials*, Vol. 11, 2018.
- [5] Yang, B., M. Lawrence, W. Gao, Q. Guo, and S. Zhang. "One-way Helical Electromagnetic Wave Propagation Supported by Magnetized Plasma," *Scientific Reports*, Vol. 6, 2016.

BIOGRAPHY

Doyle Motes is a licensed professional engineer in Texas and is employed as a research engineer at TRI Austin, Inc. He has extensive experience and has published in the fields of pulsed power, materials engineering and processing, and nondestructive testing. His research interests include additive manufacturing and 3-D printing, materials engineering and processing, nondestructive testing (in particular, ultrasound and eddy current testing), sustainment of aging weapon systems, automation of inspection/validation technologies, and materials state sensing. Mr. Motes holds bachelor's and master's degrees in mechanical engineering from the University of Texas at Austin.